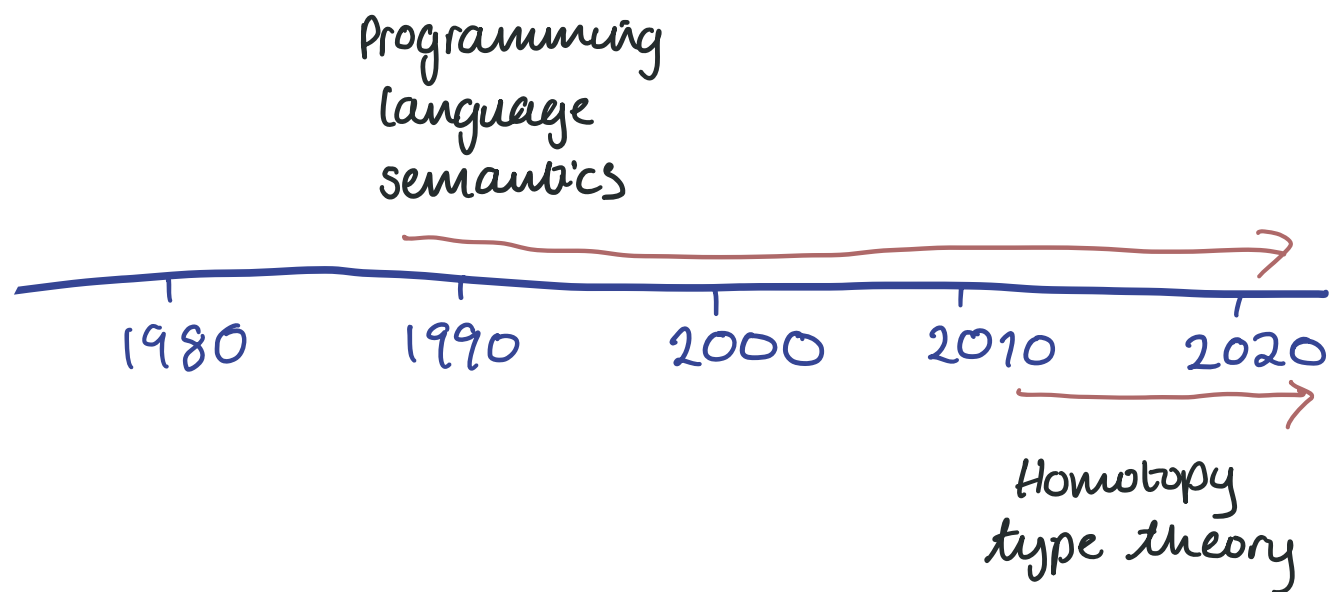


Who cares about
modal type theory?

Florrie Verity

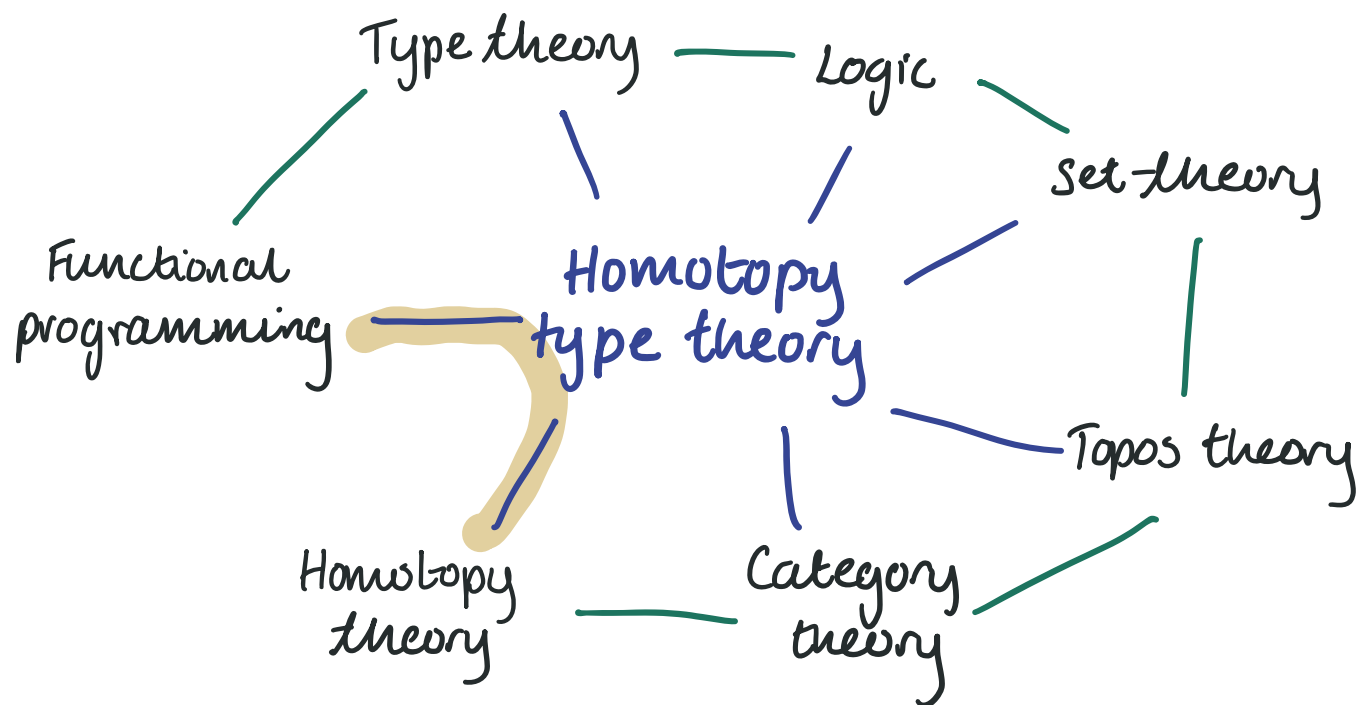
Computing foundations seminar, ANU
13 November 2023

Modal type theory by field



'HoTT Subject map'

- Paige Randall North



Plan

1) Homotopy type theory and modalities

2) Crisp type theory

- the type theory
- its semantics

Part one

Identity types

The formation rule $\frac{x, y : A}{\text{Id}_A(x, y) \text{ is a type}}$ can be iterated—

- $\frac{p, q : \text{Id}_A(x, y)}{\text{Id}_{\text{Id}_A(x, y)}(p, q) \text{ is a type}}$
- $\frac{\alpha, \beta : \text{Id}_{\text{Id}_A(x, y)}(p, q)}{\text{Id}_{\text{Id}_{\text{Id}_A(x, y)}(p, q)}(\alpha, \beta) \text{ is a type}}$ and so on.

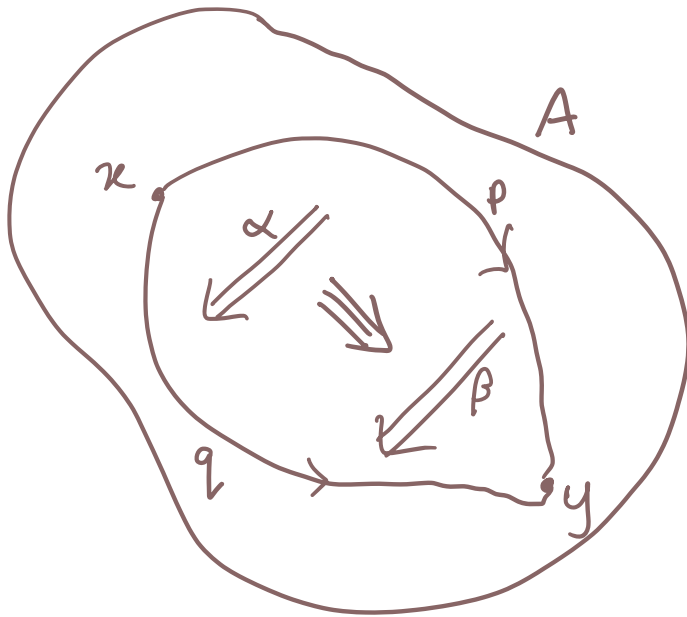
How do we make sense of this?

– Hofmann and Streicher 1995, $p, q : \text{Id}_A(x, y) \not\Rightarrow p = q$

Intuition

$$\alpha, \beta : \text{Id}_{\text{Id}_A(x,y)}(p,q)$$

$\text{Id}_{\text{Id}_A(x,y)}(p,q)(\alpha,\beta)$ is a type



A is a space
 x is a point
 p is a path
 α is a homotopy*

* synthetic space / point / path...

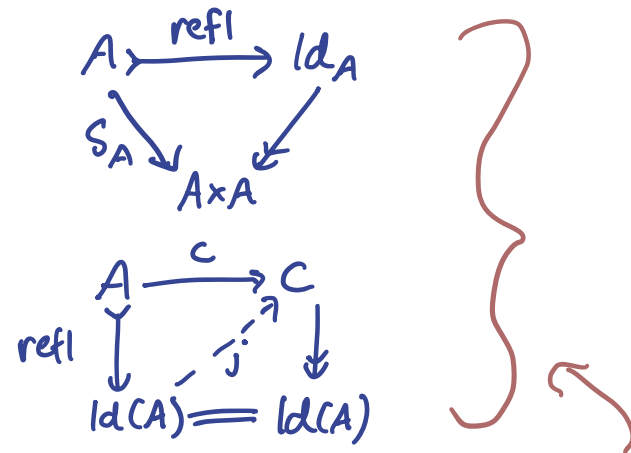
Intuition taken seriously

Rules for identity types

$$a:A \vdash \text{refl}(a): \text{Id}_A(a,a)$$

$$a:A \vdash c(a): C(a,a, \text{refl}(a))$$

Homotopical interpretation



Defining conditions of a weak factorisation system
in homotopical algebra

Consequence development of HoTT

(= Martin L f type theory
+ "univalence axiom"
+ a focus on "homotopy levels"
+ "higher inductive types")

Models of HoTT

- HoTT has models in "presheaf categories",
a setting with an abundance of homotopical model structures

- simplicial sets (Voevodsky)

- cubical sets (Coquand, Orton & Pitts, Awodey)
 $\nearrow$ constructive!

- Two ways of working in a presheaf category $\hat{\mathcal{C}}$:

① Category-theoretically
via diagrams in $\hat{\mathcal{C}}$
(Awodey, Gambino & Sattler, ...)

objects and
structure-preserving
maps

② Logically via the "internal type theory" of $\hat{\mathcal{C}}$
(Coquand et al, Orton & Pitts, ...)

Internal logic

universe
of terms

universe
of types

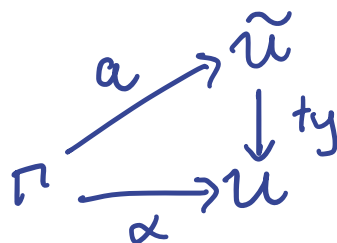
Remark $\widehat{\mathcal{C}}$ has two special objects $\tilde{\mathcal{U}}$ and $\mathcal{U}$, and a map between them, $ty: \tilde{\mathcal{U}} \rightarrow \mathcal{U}$.

A presheaf category $\widehat{\mathcal{C}}$

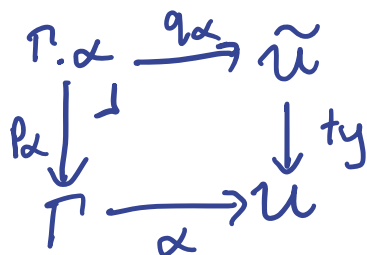
object Γ

map $\Gamma \xrightarrow{\alpha} \mathcal{U}$

diagram



"pullback"
diagram



$\rightsquigarrow$

$\rightsquigarrow$

$\rightsquigarrow$

$\rightsquigarrow$

ingredients of a type theory $\widetilde{\mathcal{L}}_{\widehat{\mathcal{C}}}$

context Γ

type-in-context
 $\Gamma \vdash \alpha$ is a type

term-in-context
 $\Gamma \vdash a: \alpha$

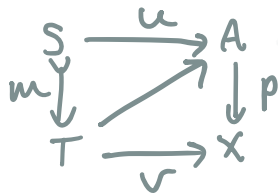
context extension
 $\Gamma, \alpha \vdash q_\alpha: \alpha[p_\alpha]$

Working with models of HoTT

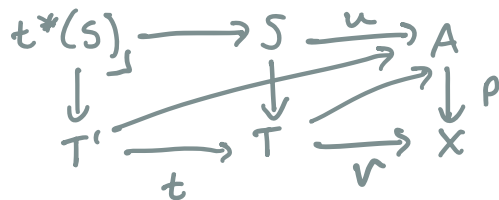
Example a "trivial fibration structure" on ...

① (category-theoretic)

$\therefore p$ is a choice of diagonal fillers $j(m, u, v)$



for all $m \in \text{Cof}$ such that



for all $m \in \text{Cof}$, for all $t: T' \rightarrow T$.

② (type-theoretic)

... $\alpha: X \rightarrow U$ is an element

$t: \text{TFib}(\alpha)$

where

$$\text{TFib}(\alpha) = \prod_{\varphi: \emptyset} \prod_{v: \alpha \{ \varphi \}} \sum_{a: \alpha} v = \lambda(a)$$



How do you relate
① and ②?

Answer: a generalisation of

Beth-Kripke semantics for intuitionistic logic

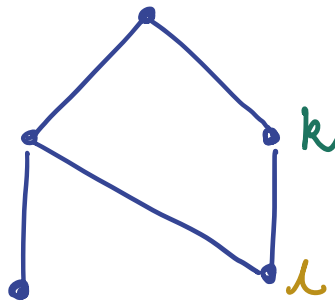
Sets of valid
formulae at a
stage



$$F(k) \subseteq F(l)$$

Poset of
stages

time ↓



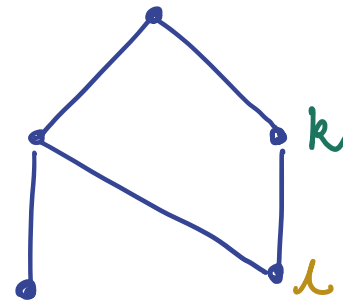
$$k \leq l$$

Beth-Kripke semantics

"Forcing" relation $k \Vdash A$

plus conditions for
compound formulae, e.g.

$k \Vdash A \wedge B$ iff $k \Vdash A$ and $k \Vdash B$



Kripke-Joyal semantics

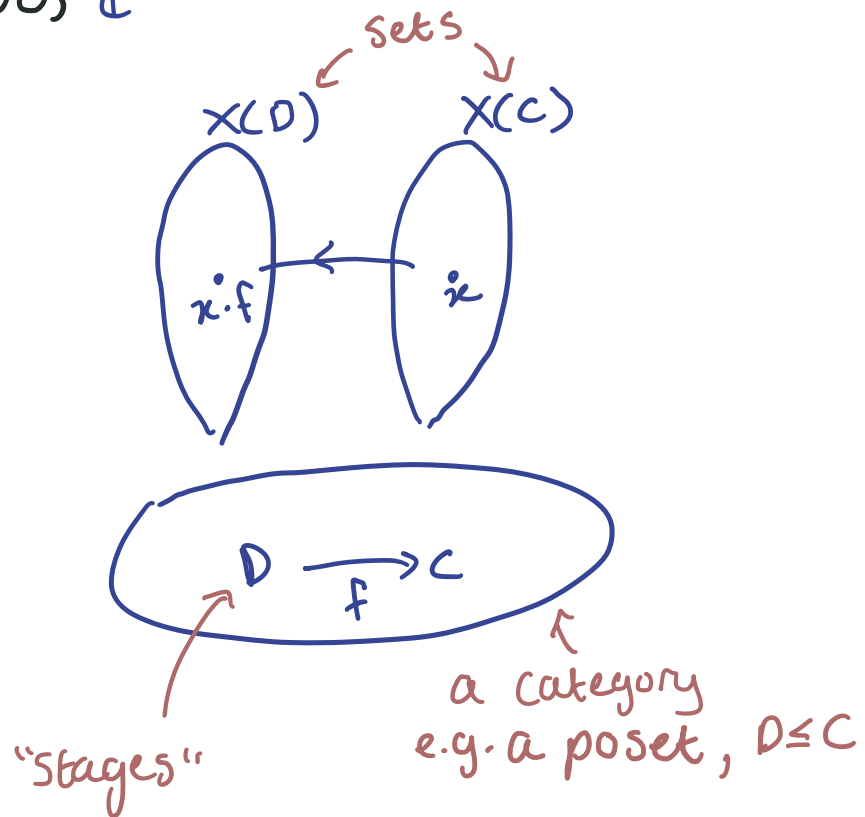
- a generalisation for higher-order logic

- setting: a topos $\mathcal{T}$

all the abstract structure
of sets, but "richer"

e.g. a category of presheaves $\hat{\mathcal{C}}$

a presheaf X in $\hat{\mathcal{C}}$
is a "variable Set"



Kripke-Joyal semantics for HoTT

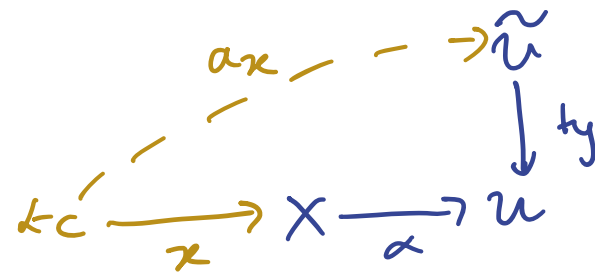
- Awodey, Gambino and Hazratpour, 2021

- an extension of KJ semantics to the internal type theory of a presheaf category

Forcing definition

$$c \Vdash a_x : \alpha(x)$$

means this diagram commutes:



+ conditions for type formers,

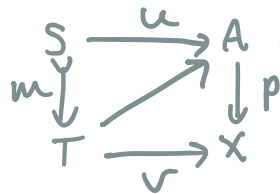
$$\text{e.g. } c \Vdash t : (\alpha \times \beta)(x) \text{ iff } c \Vdash a : \alpha(x) \text{ and } c \Vdash b : \beta(x)$$

Example

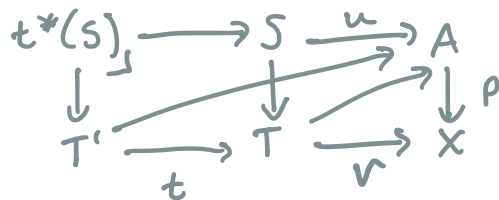
a "trivial fibration structure" on ...

① (category-theoretic)

$\therefore p$ is a choice of diagonal fillers $j(m, u, v)$



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for all $m \in \text{Cof}$, for all $t: T' \rightarrow T$.

② (type-theoretic)

... $\alpha: X \rightarrow U$ is an element

$t: \text{TFib}(\alpha)$

where

$$\text{TFib}(\alpha) = \prod_{\varphi: \emptyset} \prod_{v: \alpha \{ \emptyset \}} \sum_{a: \alpha} v = \lambda(a)$$

The gap

! the "universe of uniform fibrations":

① (category-theoretic)

$$\begin{array}{ccc} \text{Fib}^*(\alpha) & \xrightarrow{\quad} & \text{Fill}(\alpha \circ \kappa)_I \\ \downarrow \lrcorner & & \downarrow \\ X & \xrightarrow[\eta]{} & (X^I)_I \end{array}$$

② (type-theoretic)

impossible!

Solution

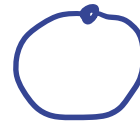
- extend type theory with the modal operator of "crisp type theory" (Licata, Orton, Pitts & Spitters, 2018)
- formulate Kripke-Joyal semantics for this type theory (present work)

Modalities elsewhere in HoTT

Used to recover "lost topological information"

e.g. the topological circle $\mathbb{S}^1$ vs. the higher inductive circle S^1

$$\{(x, y) : \mathbb{R} \times \mathbb{R} \mid x^2 + y^2 = 1\}$$



- Brouwer's fixed point theorem is trivial for S^1 but not for $\mathbb{S}^1$

Part two

"A judgemental reconstruction of modal logic"

– Pfenning and Davies, 2001

Basic judgements in logic

1) A is a proposition

we know what counts
as a verification of A

2) A is true

we know how to verify A

(presupposes A is a proposition)

used in inference rules to explain connectives
e.g. conjunction

$$\frac{A \text{ prop} \quad B \text{ prop}}{A \wedge B \text{ prop}}$$
 Formation

$$\frac{A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}}$$
 Introduction

$$\frac{A \wedge B \text{ true}}{A \text{ true}}$$

$$\frac{A \wedge B \text{ true}}{B \text{ true}}$$

} Elimination

Hypothetical judgements

- to explain the connective $\Rightarrow$, we need another form of judgement, written:

$$\underbrace{J_1, \dots, J_n}_{\text{"hypotheses"}} \vdash J$$

J assuming
 J_1 through J_n

e.g. $A, \text{true}, \dots, A_n \text{ true} \vdash A \text{ true}$

- this allows us to introduce implications with the rule:

$$\frac{\Gamma, A \text{ true} \vdash B \text{ true}}{\Gamma \vdash A \Rightarrow B \text{ true}}$$

we know how to verify $A \Rightarrow B$
if we know how to verify B
under hypothesis "A true"

- we may as well write our other rules in this judgement form, e.g.

$$\frac{A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}}$$

$\rightsquigarrow$

$$\frac{\Gamma \vdash A \text{ true} \quad \Gamma \vdash B \text{ true}}{\Gamma \vdash A \wedge B \text{ true}}$$

Rethinking our judgements...

Recall the second basic judgement

2) A is true

we know how to verify A

Let's give names to verifications and replace the above judgement with

$M : A$

" M is a proof of proposition A "

" M is a term of type A "

For hypothetical judgements, we name our hypothesised proof/term with a variable:

$x : A$

... leads to type theory

Example Conjunction

- Formation rule
$$\frac{A \text{ prop} \quad B \text{ prop}}{A \wedge B \text{ prop}}$$
- Introduction rule
$$\frac{A \text{ true} \quad B \text{ true}}{A \wedge B \text{ true}} \rightsquigarrow \frac{\Gamma \vdash M:A \quad \Gamma \vdash N:B}{\Gamma \vdash \langle M, N \rangle : A \wedge B}$$
- Elimination rule
$$\frac{A \wedge B \text{ true}}{A \text{ true}} \rightsquigarrow \frac{\Gamma \vdash M:A \wedge B}{\Gamma \vdash \text{fst } M:A}$$
$$\frac{A \wedge B \text{ true}}{B \text{ true}} \rightsquigarrow \frac{\Gamma \vdash M:A \wedge B}{\Gamma \vdash \text{snd } M:B}$$
- Computation rules
$$\text{fst} \langle M, N \rangle \Rightarrow_R M$$
$$\text{snd} \langle M, N \rangle \Rightarrow_R N$$
$$M:A \wedge B \Rightarrow_E \langle \text{fst } M, \text{snd } M \rangle$$

relate intro
and elim rules

Pfenning and Davies' idea -

 use this methodology of analysing judgements to incorporate modality in a type theory

Step 1) Introduce a third basic judgement

Definition (Validity)

- 1) if $\bullet \vdash A \text{ true}$ then $A \text{ valid}$.
- 2) if $A \text{ valid}$ then $\top \vdash A \text{ true}$.

o o o

necessarily
true

This may be used in hypothetical judgements

$B_1 \text{ valid}, \dots, B_m \text{ valid} \mid A_1 \text{ true}, \dots, A_n \text{ true} \vdash A \text{ true},$

abbreviated

$\Delta \mid \top \vdash A \text{ true}.$

Step 2) Internalise this judgement as a proposition

• Formation rule
$$\frac{A \text{ prop}}{\Box A \text{ prop}}$$

• Introduction rule
$$\frac{\Delta \mid \bullet \vdash A \text{ true}}{\Delta \mid \Gamma \vdash \Box A \text{ true}}$$

(follows from the definition of validity, updated with split contexts.

- 1) if $\Delta \mid \bullet \vdash A \text{ true}$ then A valid.
- 2) if A valid then $\Delta \mid \Gamma \vdash A \text{ true}$.)

• Elimination rule
$$\frac{\Delta \mid \Gamma \vdash \Box A \text{ true} \quad \Delta, A \text{ valid} \mid \Gamma \vdash C \text{ true}}{\Delta \mid \Gamma \vdash C \text{ true}}$$

Step 3) Perform the same move as before to "term: type" judgements

• Formation rule
$$\frac{A \text{ prop}}{\Box A \text{ prop}}$$

• Introduction rule
$$\frac{\Delta \mid \bullet \vdash A \text{ true}}{\Delta \mid \Gamma \vdash \Box A \text{ true}} \rightsquigarrow \frac{\Delta \mid \bullet \vdash M : A}{\Delta \mid \Gamma \vdash \text{box } M : \Box A}$$

• Elimination rule
$$\frac{\Delta \mid \Gamma \vdash \Box A \text{ true} \quad \Delta, A \text{ valid} \mid \Gamma \vdash C \text{ true}}{\Delta \mid \Gamma \vdash C \text{ true}}$$

$$\rightsquigarrow \frac{\Delta \mid \Gamma \vdash M : \Box A \quad \Delta, \underbrace{u :: A}_{\text{modal variable}} \mid \Gamma \vdash N : C}{\Delta \mid \Gamma \vdash \text{let box } u = M \text{ in } N : C}$$

• Computation rules

$\text{let box } u = \text{box } M \text{ in } N \Rightarrow_R N[M/u]$

$M : \Box A \Rightarrow_E \text{let box } u = M \text{ in } (\text{box } u)$

replace all instances
of u in N with M

Moving to Crisp type theory

- Crisp type theory is dependently-typed
- Terminology changes

box modality $\Box A$ $\rightsquigarrow$ flat modality $\flat A$
validity hypotheses $u :: A$ $\rightsquigarrow$ "crisp" hypotheses

"crisp context /
context of crisp
variables" $\rightsquigarrow$ $\Delta \mid \Gamma$

Σ "non-crisp context,
context of non-crisp
variables"

Context extension

- Two kinds of context extension

① standard context extension

$$\frac{\Delta \mid \Gamma \vdash \alpha \text{ type}}{\Delta \mid \Gamma, x:\alpha \vdash}$$

② extension of the crisp context

$$\frac{\Delta \mid \bullet \vdash \alpha \text{ type}}{\Delta, x:\alpha \mid \bullet \vdash}$$

Modelling dependent type theory

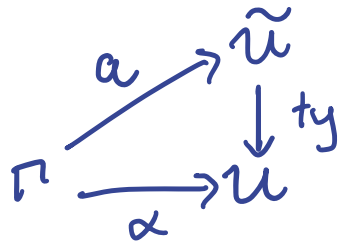
Recall the "internal logic" of $\hat{\mathcal{C}}$

A presheaf category $\hat{\mathcal{C}}$

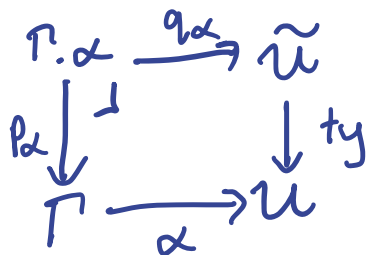
object Γ

map $\Gamma \xrightarrow{\alpha} \mathcal{U}$

diagram



"pullback" diagram



ingredients of a type theory $\hat{\mathcal{L}}_{\hat{\mathcal{C}}}$

context Γ

type-in-context
 $\Gamma \vdash \alpha$ is a type

term-in-context
 $\Gamma \vdash a : \alpha$

context extension
 $\Gamma, \alpha \vdash q_\alpha : \alpha[p_\alpha]$

Modelling dependent type theory

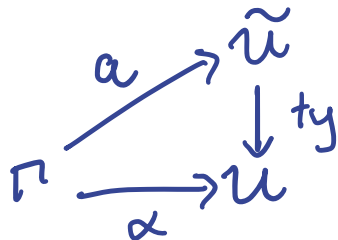
We can go the other way -

Category $\mathcal{D}$ with appropriate structure

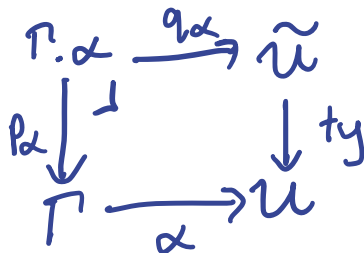
object Γ

map $\Gamma \xrightarrow{\alpha} \mathcal{U}$

diagram



"pullback" diagram



Ingredients of a type theory τ

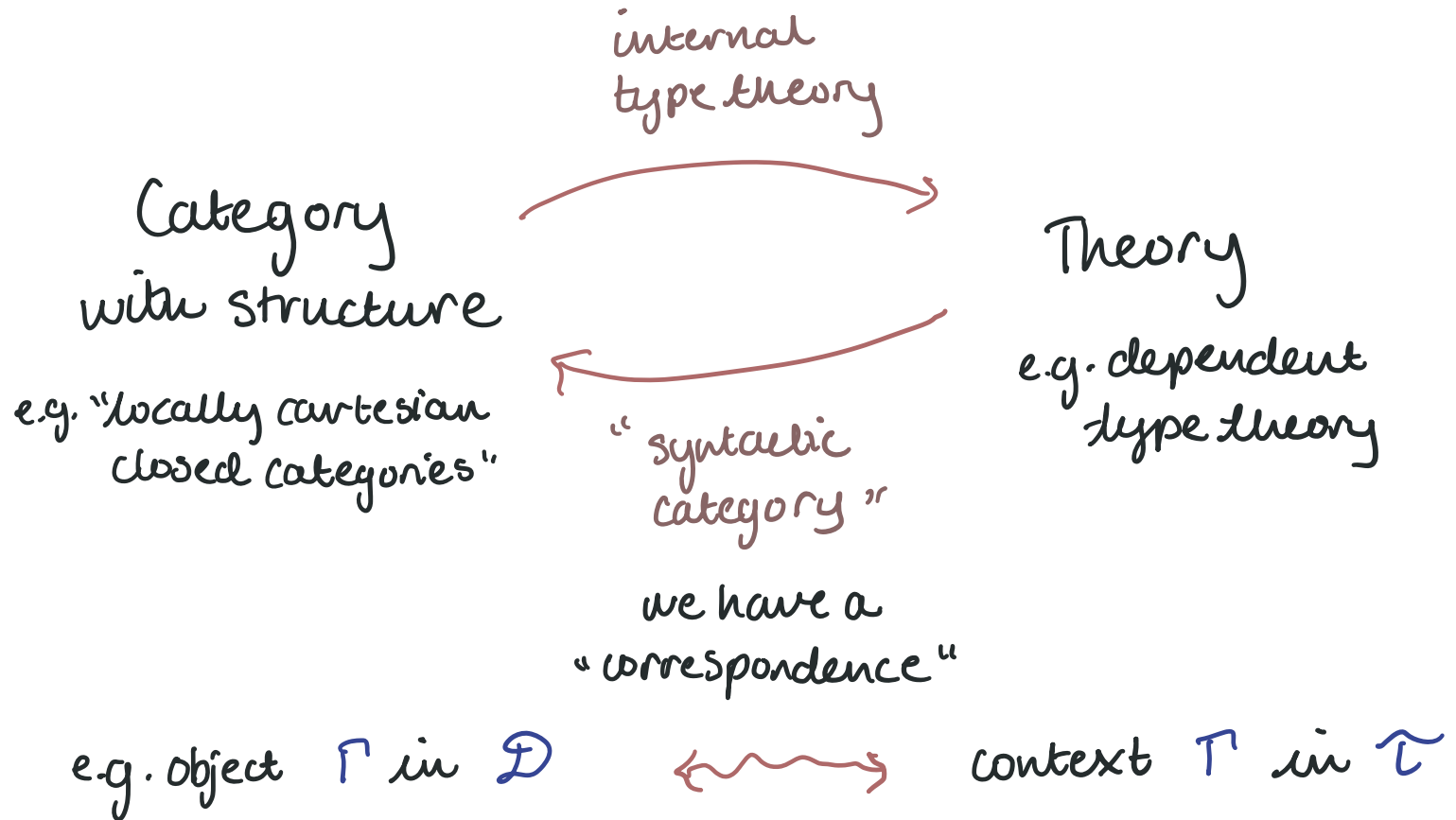
context Γ

type-in-context
 $\Gamma \vdash \alpha \text{ is a type}$

term-in-context
 $\Gamma \vdash a : \alpha$

context extension
 $\Gamma, \alpha \vdash q_\alpha : \alpha[p_\alpha]$

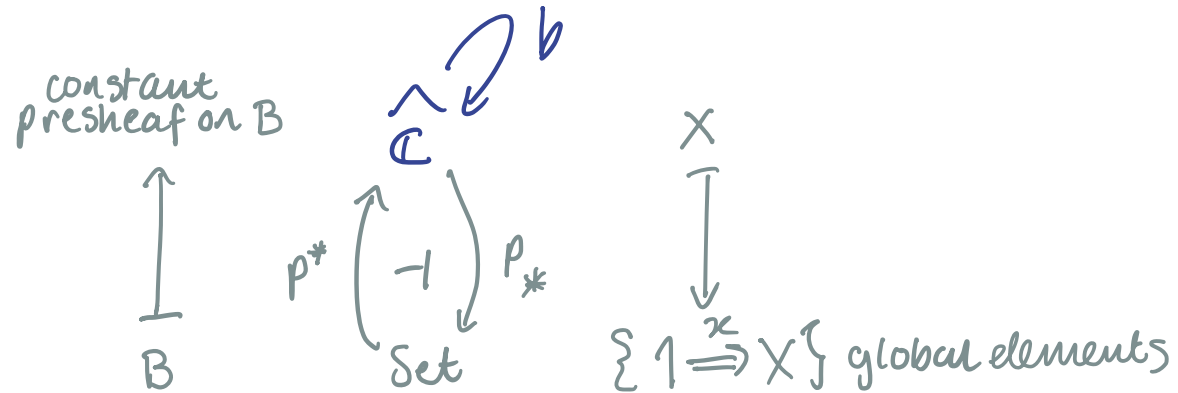
"Functorial semantics"



N.B. subtleties arise from type theories being "stricter" than categories

Modelling crisp type theory

- Conjectured model in Licata et al. (2018), from Shulman (2018)



b is a "comonad" on $\hat{\mathcal{C}}$

Data of a comonad

(i) $\Box: \hat{\mathcal{C}} \rightarrow \hat{\mathcal{C}}$ a functor

(ii) $\varepsilon: \Box \Rightarrow \text{id}_{\hat{\mathcal{C}}}$

(iii) $\eta: \text{id}_{\hat{\mathcal{C}}} \Rightarrow \Box$

Axioms of modal logic S4

(K) $\Box(A \rightarrow B) \rightarrow (\Box A \rightarrow \Box B)$

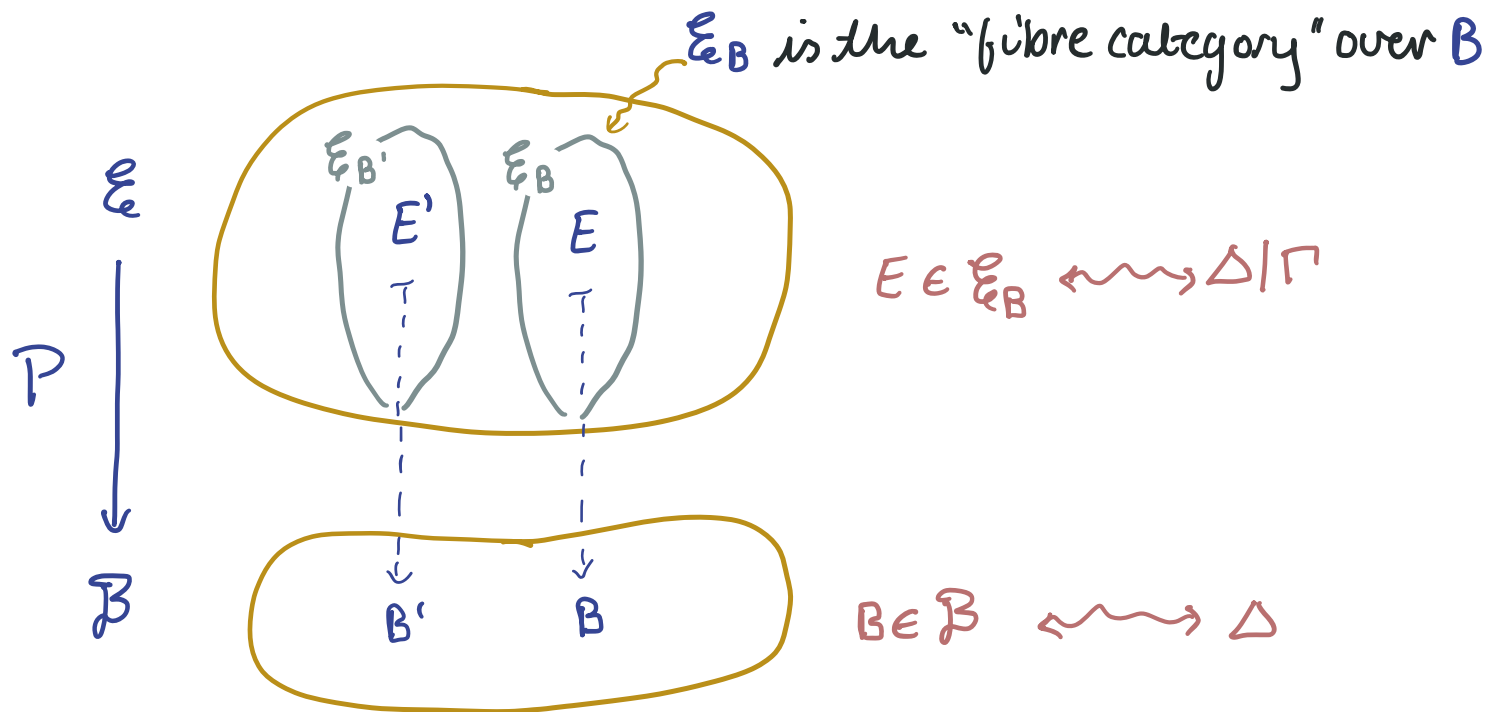
(T) $\Box A \rightarrow A$

(4) $\Box A \rightarrow \Box \Box A$

- Our strategy - zoom out

Modelling a split context type theory

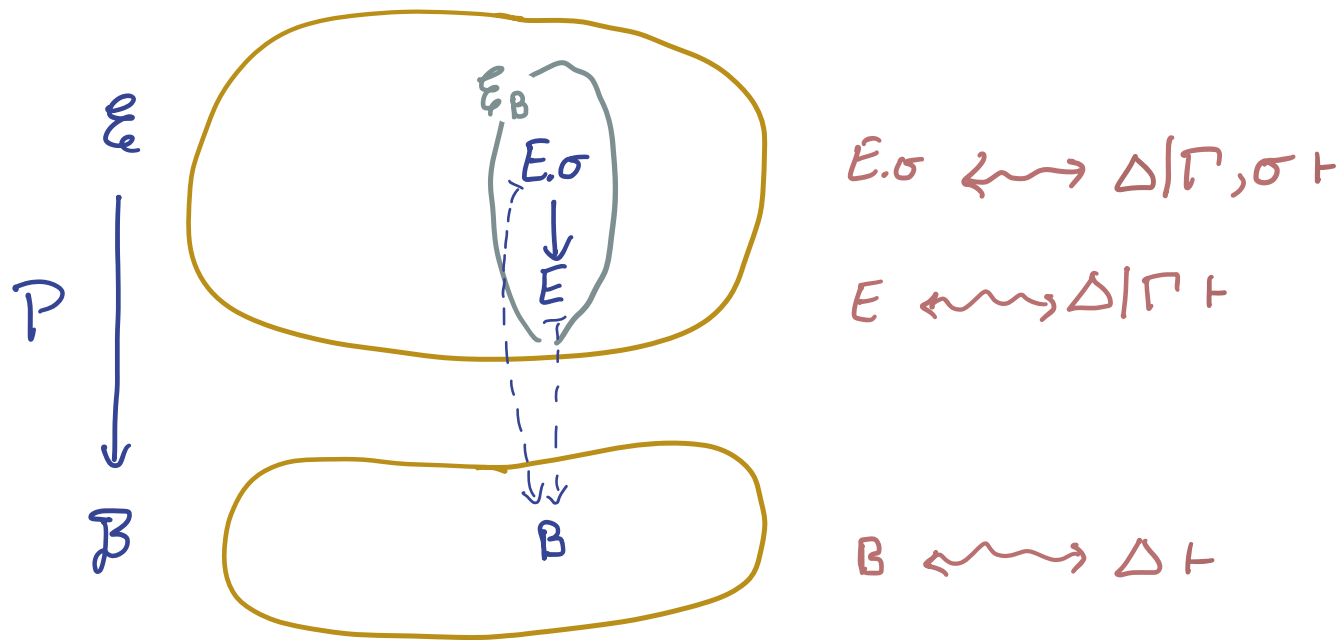
For a context Δ/Γ , want to capture the dependency of Γ on Δ .



Modelling a split context type theory

Regular context extension:

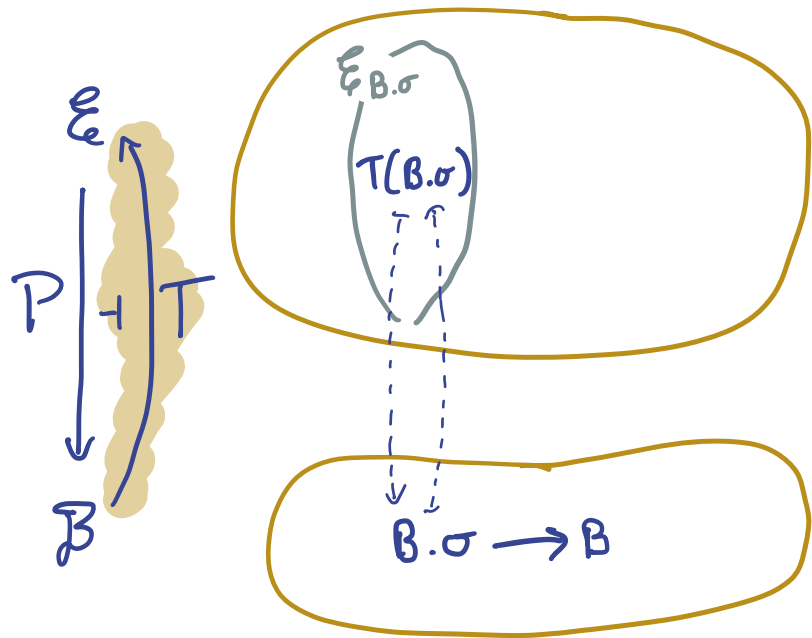
$$\frac{\Delta/\Gamma \vdash \sigma \text{ type}}{\Delta/\Gamma, \sigma \vdash}$$



Modelling a split context type theory

Crisp context extension:

$$\frac{\Delta / \bullet \vdash \sigma \text{ type}}{\Delta, \sigma / \bullet \vdash}$$



$$\mathcal{T}(B.\sigma) \hookrightarrow \Delta, \sigma / \bullet \vdash$$

$$\begin{aligned} B &\hookrightarrow \Delta \vdash \\ B.\sigma &\hookrightarrow \Delta, \sigma \vdash \end{aligned}$$

Modelling crisp type theory



Idea Equip

- (i) the base category, and
- (ii) each fibre

with the structure to model a type theory.

(e.g. two special objects $\tilde{u}$ and $\tilde{v}$, and map between them, $ty: \tilde{u} \rightarrow \tilde{v}$.)

universe of terms universe of types



These universes should be related to each other so that

$\Delta \vdash_B \sigma \text{ type}$ and $\Delta \vdash \sigma \text{ type}$

are "the same".

What we've done

- Developed an abstract model of
relativised, fibrewise "natural models"
i.e. a functor $P: \mathcal{E} \rightarrow \mathcal{B}$ + axioms
~~~~~ helped us understand crisp type theory
- Zoomed back in to the concrete model

$$\begin{array}{c} \hat{\mathcal{C}}^b \\ \begin{array}{c} p^* \uparrow \quad \downarrow p_* \\ (-) \end{array} \\ \text{Set} \end{array}$$

and shown this is an instance of the abstract model,  
where

$$\mathcal{E} := \hat{\mathcal{C}} \downarrow \hat{\mathcal{C}}^b$$

$$\downarrow \text{cod}$$

$$\mathcal{B} :=$$

$$\hat{\mathcal{C}}^b \leftarrow \text{full subcategory of } X \in \hat{\mathcal{C}} \text{ with } bX = X$$

$$\text{n.b. } \hat{\mathcal{C}}^b \simeq \text{Set}$$

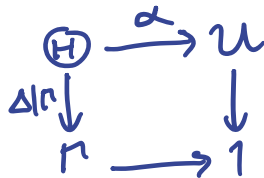
- extracted the internal (crisp!) type theory of  $\hat{\mathcal{C}} \downarrow \hat{\mathcal{C}}_b$

$\hat{\mathcal{C}} \downarrow \hat{\mathcal{C}}_b$

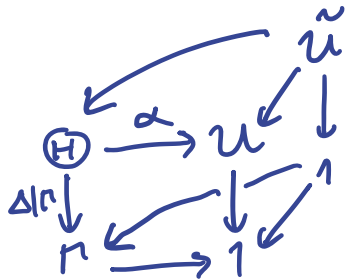
object



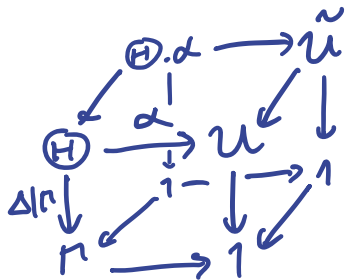
map



diagram



"pullback"  
diagram



ingredients of a type theory  $\hat{\mathcal{C}} \downarrow \hat{\mathcal{C}}_b$

context  $\Delta/\Gamma$

type-in-context  
 $\Delta/\Gamma \vdash \alpha$  is a type

term-in-context  
 $\Delta/\Gamma \vdash a : \alpha$

context extension  
 $\Delta/\Gamma, \alpha \vdash q_\alpha : \alpha[p_\alpha]$

## what we're doing

- developing Kripke-Joyal semantics in this setting
  - a general forcing condition, plus special cases for type formers
- ~> relates category-theoretic and type-theoretic descriptions e.g. for the universe of uniform fibrations
- Formalising the "functorial semantics"
- Formulating crisp  $\Pi$ -types in the model.

Thanks